Important information about this form:

- Use this form to make a direct rollover from a 529 College Savings account into an ABLE United account.

- In a direct rollover the movement of funds is coordinated by the 529 College Savings Plan Manager and ABLE Program Manager. Once this form is submitted to the ABLE United Program, they will reach out to your 529 College Savings Plan to coordinate the rollover.

- The assets will be allocated based on the investment selection for the ABLE United account.

- You must have the 529 College Savings account information and the ABLE United account information available. The 529 College Savings account might also require a Medallion Signature Guarantee in Step 7. You should check with the 529 College Savings Program Manager before submitting this form.

- If an ABLE account has not yet been established for the Beneficiary, the Beneficiary or his/her Authorized Legal Representative should sign up online at www.ABLEUnited.com or use an Enrollment Form before this form is completed.

- There’s a $15,000 annual rollover limit (less the amounts previously contributed by any person to the ABLE account that count against the standard $15,000 annual limit).

- All rollover assets will be counted against the standard $15,000 annual contribution limit to the ABLE account.

- The Beneficiary of the ABLE United account must be the same as the beneficiary of the 529 College Savings account or be an eligible “Member of the Family” of such beneficiary as defined by Section 529 of the Internal Revenue Code.

- The Beneficiary of the ABLE United account will also be the owner of the ABLE United account, even if such Beneficiary was not the owner of the 529 College Savings account.

- Make sure you use black ink to type or print clearly in capital letters and do not staple the pages together.
ABLE account information

This is the ABLE United account you’re rolling assets into.

Name of the Beneficiary (First and last)

— — — — — — — — — —
Beneficiary’s Social Security or Taxpayer Identification Number

Is the Beneficiary the same for both the 529 College Savings account and the ABLE United account?

○ Yes

○ No, and I certify that the new Beneficiary listed in this step meets the permitted family member designation in Section 529 (includes biological and step parents, aunts, uncles, siblings, children, first cousins, nieces and nephews; parents, siblings, children, nieces and nephews by marriage; legally adopted children; and half-brothers or half-sisters) of the beneficiary of the 529 College Savings account.

AU — — — — — — — — — —
ABLE United account number

Who should we contact?

We need the following information for either the Beneficiary or Authorized Legal Representative in case we need to contact you about the account:

Contact name (First and last)

— — — — — — — — — —
Telephone number
2 529 College Savings account information

This is the 529 College Savings account you’re rolling assets from.

____________________________
College Savings Plan name

____________________________
Plan State Sponsor (2-character state abbreviation)

____________________________
College Savings Plan account number

____________________________
Name of the Account Owner (First and last)

____________________________
Account Owner’s Social Security or Taxpayer Identification Number

____________________________
Email address associated with the College Savings Plan account

____________________________
Telephone number

____________________________
Name of the Beneficiary (First and last) — If they are not the Account Owner

____________________________
Beneficiary’s Social Security or Taxpayer Identification Number

529 College Savings Plan Manager’s address

____________________________  _______________________
Street address 1                  Street address 2

____________________________  _______________________
City                  State                ZIP Code
3 Rollover information

There's a $5 minimum and a $15,000 annual rollover limit (less the amounts previously contributed by any person to the ABLE account that count against the standard $15,000 annual limit) for an ABLE account. Contributions over the allowed amount will be rejected in their entirety. The rollover contribution will be invested based on the investment selections for the ABLE United account.

A Source of funds:
These instructions will be used by the 529 College Savings Plan Manager. To roll over from more investment portfolios, please include a separate page with this form.

<table>
<thead>
<tr>
<th>Investment portfolio name</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>__________________________</td>
<td>$ __ __ , __ __ __ · __ __</td>
</tr>
<tr>
<td>__________________________</td>
<td>$ __ __ , __ __ __ · __ __</td>
</tr>
<tr>
<td>__________________________</td>
<td>$ __ __ , __ __ __ · __ __</td>
</tr>
</tbody>
</table>

B What's the total?
This should be the sum of the portfolios listed in Step 3A above.

$ __ __ , __ __ __ · __ __
Full amount of rollover
4 Rollover contribution information

Provide instructions to ABLE United for how to invest the rollover amount provided in Step 3.

Please read the ABLE United Program Description & Participation Agreement for important information about the cash and investment options before making a decision.

How do you want to allocate?

Please fill out how you would like to allocate this rollover contribution below. You must select at least 1 portfolio/fund and make sure your total adds up to 100%.

<table>
<thead>
<tr>
<th>Investment options</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Conservative Portfolio</td>
<td>___ ___ ___%</td>
</tr>
<tr>
<td>Moderate Portfolio</td>
<td>___ ___ ___%</td>
</tr>
<tr>
<td>Growth Portfolio</td>
<td>___ ___ ___%</td>
</tr>
<tr>
<td>U.S. Stock Fund</td>
<td>___ ___ ___%</td>
</tr>
<tr>
<td>International Stock Fund</td>
<td>___ ___ ___%</td>
</tr>
<tr>
<td>U.S. Bond Fund</td>
<td>___ ___ ___%</td>
</tr>
<tr>
<td>Money Market Fund</td>
<td>___ ___ ___%</td>
</tr>
<tr>
<td>FDIC Savings Fund</td>
<td>___ ___ ___%</td>
</tr>
</tbody>
</table>

Percent

Total = 100%
5 Signature of the ABLE account Beneficiary (or Authorized Legal Representative)
Accepting the 529 College Rollover

I agree that this rollover contribution should be accepted by the ABLE United Program Manager.

_________________________  ___________________________
Signature of Beneficiary or Authorized Legal Representative of the ABLE account  Date (mm/dd/yyyy)

6 Signature of 529 College Savings Plan Account Owner

By signing this, you’re agreeing to these statements:

• I confirm that I received, understand, consent, and agree to all the information and terms and conditions in the ABLE United Program Details and Participation Agreement as they relate to this rollover.

• I authorize the 529 College Savings Plan Manager, or its designee, to roll over assets into the ABLE United account according to these instructions.

• I certify that the beneficiary of the 529 College Savings account is the same as the Beneficiary of the ABLE United account or that the Beneficiary of the ABLE United account qualifies as a “Member of the Family” of the beneficiary of the 529 College Savings account as defined by Section 529 of the Internal Revenue Code.

• I understand that a rollover that doesn't meet all of the above conditions may result in the earnings portion of the withdrawal from the 529 College Savings account being considered a non-qualified withdrawal subject to federal income tax and an additional 10% federal tax, and may be subject to state or local income tax.

• I certify that the above is accurate data regarding the Rollover of the 529 College Savings account assets into the referenced ABLE account. I further certify that I have signing authority over the 529 College Savings account.

_________________________  ___________________________
Signature of Account Owner of the 529 College Savings account  Date (mm/dd/yyyy)
A Medallion Signature Guarantee

You may be required to provide proof of your authority to act on behalf of the 529 College Savings account. If you’re not sure if this is required, please contact the 529 College Savings Plan.

Keep in mind that:

• You’re providing the following information as underwritten certification that your signature is genuine.

• You can get a Medallion Signature Guarantee from an authorized officer of a bank, broker, or other qualified financial institution. A notary public doesn’t qualify, and you cannot guarantee your own signature. You may be required to provide proof of your authority to act on behalf of the ABLE account.

• Only sign if you are in the presence of an authorized officer providing the Medallion Signature Guarantee.

I certify that the information provided herein is true and complete in all respects, and that I have read and understand, consent, and agree to all the terms and conditions of the Program Details & Participation Agreement.

________________________________________
Signature of Account Owner

________________________________________
Signature Guarantor

________________________________________
Title

________________________________________
Name of Institution

________________________________________
Date (mm/dd/yyyy)